SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> MacFarlane John Thomas	2. Date of E Requiring S (Month/Day 05/05/202	statement /Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Sterling Bancorp, Inc.</u> [SBT]					
(Last) (First) (Middle) 151 S. OLD WOODWARD AVE. STE. 200 (Street) BIRMINGHAM MI 48009 (City) (State) (Zip)			4. Relationship of Reporting Issuer (Check all applicable) Director Officer (give title below)	<b>10%</b> C	)wner (specify	File 6. Ir	d (Month/Day/ ndividual or Jo eck Applicable Form filed I Person	int/Group Filing Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			12,107,732 <sup>(1)</sup>		I F		Family Co-Trustee	
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4) Expira (Month			3. Title and Amount of So Underlying Derivative Se (Instr. 4)		curity Conversion Conversion		5. Ownership Form:	Ownership (Instr.
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)

## Explanation of Responses:

1. On August 5, 2021, Mr. MacFarlane became a Family Co-Trustee under the K.I.S.S. Dynasty Trust No. 9, now known as the K.I.S.S. Dynasty Trust No. 9 dated March 2, 2022 (the "Trust"). These shares represent the shares of Common Stock of Sterling Bancorp, Inc. (the "Common Stock") owned by the Trust. Mr. MacFarlane as a Family Co-Trustee of the Family Co-Trust, shares investment and voting power over the shares of Common Stock held by the Trust, with Mr. Erwin A. Rubenstein. Mr. MacFarlane has no pecuniary interest in the Common Stock held by the Trust and disclaims beneficial ownership of such Common Stock.

> John Thomas MacFarlane. 07/01/2022 by Sean Jensen, Attorney-

in-Fact \*\* Signature of Reporting Date

Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.